JC10 Rec'd PCT/PTO 2 0 MAY 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

d .. b

ATTORNEY'S DOCKET NUMBER **BTW-094US**

U.S. APPLICATION NO HIT knows see 37, CFR 1.5)

| INTERNA | ATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | |
|---|--|--|---|--|
| TIT! F 01 | PCT/GB03/05000 | 18 November 2003 | 22 November 2002 | |
| IIILE O | INVENTION WAVELENGT | H LOCKER (As Amended) | | |
| APPLICA | NT(S) FOR DO/EO/US Jan | nes FRASER et al. | | |
| Applicant | herewith submits to the United St | ates Designated/Elected Office (DO/EO/L | S) the following items and other information: | |
| 1. x This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | |
| 2. | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | |
| 3. | This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | |
| 4. | The US has been elected (Article 31). | | | |
| 5. x | A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | |
| a. | is attached hereto (required only if not communicated by the International Bureau). | | | |
| b. | x has been communicated by the International Bureau. | | | |
| C. | is not required, as the application was filed in the United States Receiving Office (RO/US). | | | |
| 6. | An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). | | | |
| a. | is attached hereto. | | | |
| b. | has been previously submit | ted under 35 U.S.C. 154(d)(4). | | |
| 7. x | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) | | | |
| a. | are attached hereto (required only if not communicated by the International Bureau). | | | |
| b. | have been communicated by the International Bureau. | | | |
| C. | have not been made; however, the time limit for making such amendments has NOT expired. | | | |
| d. | x have not been made and will not be made. | | | |
| 8. | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | |
| 9. | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). | | | |
| 10. | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). | | | |
| Items 1 | 1 to 20 below concern docum | nent(s) or information included: | | |
| 11. x | An Information Disclosure State | ement under 37 CFR 1.97 and 1.98 (2 s | heets) w/PTO/SB/08 (1 sheet) and 3 ref. | |
| 12. | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | |
| 13. x | A preliminary amendment (7 sheets). | | | |
| 14. x | An Application Data Sheet under 37 CFR 1.76 (4 sheets). | | | |
| 15. | A substitute specification. | | | |
| 16. | A power of attorney and/or change of address letter. | | | |
| 17. | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | |
| 18. | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | |
| 19. | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | |
| 20. x | | T International Published Application (Voress mailing and Return Postcard. | VO 04/047243 A1) (29 sheets); Certificate of | |

PTO-1390 (Rev. 02-2005) Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. INTERNATIONAL APPLICATION NO. U.S. APPLICATION NO (if known see 170FR 2.5) ATTORNEY'S DOCKET NUMBER APPDCAIDIO 46 PCT/GB03/05000 **BTW-094US** The following fees have been submitted CALCULATIONS PTO USE ONLY x Basic national fee\$300 300.00 22. x Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy All other situations 200.00 Search fee 23. | x | Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100 International Search Report prepared and provided to the Office\$400 500.00 All other situations... TOTAL OF 21, 22 and 23 = 1,000.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction Extra sheets thereof (round up to a whole number -100 =/50 =x \$250.00 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months 130.00 from the earliest claimed priority date (37 CFR 1.492 (e)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims 25 - 20 = 50.00 250.00 x Independent claims 3 - 3 = x 0.00 MULTIPLE DEPENDENT CLAIM(s) (if applicable) TOTAL OF ABOVE CALCULATIONS = 1,380.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = 1,380.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). **TOTAL NATIONAL FEE =** 1.380.00 Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property **TOTAL FEES ENCLOSED =** 1,380.00 Amount to be \$ Amount to be \$ charged: A check in the amount of to cover the above fees is enclosed. 12-0080 in the amount of \$ Please charge my Deposit Account No. to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-0080 . A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status SEND ALL CORRESPONDENCE TO: Anthony A. Laurentano LAHIVE & COCKFIELD, LLP Anthor Laurentano 28 State Street NAME Boston, Massachusetts 02109 (617) 227-7400 38,220

CUSTOMER NUMBER: 00959

Date: May 20, 2005

REGISTRATION NUMBER



Application No. (if known): NEW APPLICATION

Attorney Docket No.: BTW-094US

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV 553 866 630 US in an envelope addressed to:

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

| on | 20 May 2005 | |
|----|-------------|--|
| • | Date | |

Anthony A. Laurentano

Typed or printed name of person signing Certificate

38,220 (617) 227-7400

Registration Number, if applicable Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter (2 sheets in duplicate); Preliminary Amendment (7 sheets); Information Disclosure Statement (2 sheets) with Form PTO/SB/08 (1 sheet) and 3 references; PCT International Published Application (WO 04/047243 A1) (with International Search Report attached) (29 sheets); Application Data Sheet (4 sheets); Certificate of Express Mailing (1 sheet); and Return Postcard.